



5-16-07

15W 3/628
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cadoux, Robert) **Examiner:** Milef, E.
Serial No.: 09/491,388) **Art Unit:** 3628
Filing Date: Jan. 26, 2000) **Atty. Docket No.** 099629

Title : A SERIALY STAGED, INITIAL PUBLIC STOCK OFFERING METHOD

INFORMATION DISCLOSURE STATEMENT

Kirkpatrick & Lockhart Preston Gates Ellis
Pittsburgh, PA
May 15, 2007

Mail Stop Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant, in accordance with his duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advises the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08. Copies of the non-U.S. patent documents, if any, are enclosed.

Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)." Applicants further note that the filing of this *Information Disclosure Statement* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Applicant submit that no payment is necessary for consideration of this *Information Disclosure Statement* under 37 C.F.R. § 1.97(c)(1) because (i) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, (ii) to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. Nevertheless, the

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Commissioner is hereby authorized to charge Account No. 11-1110 for any fees necessary for consideration of this *Information Disclosure Statement*.

Respectfully submitted,

Date: May 15, 2007



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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number 09/491,388

Filing Date Jan. 26, 2000

First Named Inventor Robert Cadoux

Art Unit 3628

Examiner Name Elda G. Milef

Sheet 1 of 1

Attorney Docket Number 99629

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Lyric Energy, Inc., Form 8K, Securities and Exchange Commission, April 10, 1997 (www.secinfo.com/duHbs.829.htm)	

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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